

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Tanaka et al.

Serial No.:

09/322,201

Filed:

May 28, 1999

For:

SPECIALLY SHAPED BALLOON

DEVICE FOR USE IN SURGERY

AND METHOD OF USE

Group Art Unit: N/A

Examiner: N/A

SEP 0 , 1009

Los Angeles, California 90071

August 30, 1999

71

INFORMATION DISCLOSURE STATEMENT

SFP 0.5 1999

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TECHNOLOGY CENTER 3700

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Applicants hereby disclose to the Patent Office patents, publications, and other information of which they are aware. A Form PTO-1449 listing the items for consideration by the examiner is attached hereto. One copy of each patent and publication cited is being submitted with this Statement.

CERTIFICATE OF MAILING 37 C.F.R. § 1.8

I hereby certify, pursuant to 37 C.F.R. § 1.8, that this paper or fee (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service with sufficient postage as first class mail on the date shown below in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Dated: August 30, 1999

Cheryl Blackman

The items cited on the attached Form PTO-1449 may or may not be material to the patentability of the claims in the above-identified application, and their submission is not to be construed as an admission that the items are material or considered to be material (37 C.F.R. § 1.97(h)), or even that they qualify as "prior art" under 35 U.S.C. § 102 with respect to the claimed invention unless specifically designated as such. Identification of any publication or patent having an issue date or a publication date after the statutory bar date is not an admission that the publication was published, or that the patent was filed, before the invention claimed in this application was made by Applicants.

The filing of this Information Disclosure Statement is not to be construed to mean that a search has been made or that additional information material to the examination of this application does not exist.

No Fee

Because this statement is being submitted prior to the first action on the merits, not fee is required under 37 C.F.R. § 1.97(b). However, should the Patent Office determine that a fee is required, the Assistant Commissioner is hereby authorized to charge Deposit Account No. 12-2475 for the fee.

Respectfully submitted,

Respectfully submitted

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Dated: August 30, 1999

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